



DISTRICT OF COLUMBIA
DEPARTMENT OF INSURANCE, SECURITIES AND BANKING
Banking Bureau – Attn: Annual Report

810 First Street, NE, suite 701, Washington, DC 20002
Phone: (202) 727-8000 • Fax: (202) 535-1194 • Internet: www.disb.dc.gov

MORTGAGE LENDER AND BROKER
ANNUAL REPORT FOR ACTIVITY DURING CALENDAR YEAR 2013

INSTRUCTIONS: Pursuant to the Mortgage Lender and Broker Act of 1996, EACH MORTGAGE LENDER AND BROKER LICENSED DURING 2013 **MUST FILE AN ANNUAL REPORT** with the Commissioner of the Department of Insurance, Securities and Banking ("Department") no later than **MARCH 31, 2014**.

IMPORTANT: A SEPARATE ANNUAL REPORT MUST BE PREPARED FOR EACH COMPANY AND BRANCH OFFICE covering mortgage transactions during the 2013 calendar year. Include in this report only loans or other extensions of credit that are secured, in whole or in part, by any interest in residential real property in the District of Columbia. **Please enter the licensee's name and NMLS ID or BRANCH ID in the space provided.**

Licensee name: _____	NMLS ID: _____
DBA name: _____	NMLS BRANCH ID: _____

	<i>Number</i>	<i>Dollar Amount</i>
Line 1: Number and dollar amount of all <u>District of Columbia</u> mortgage loans for which the licensee acted as the lender during 2013:	_____	\$ _____
Line 2: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee brokered during 2013:	_____	\$ _____
Line 3: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee served during 2013:	_____	\$ _____
Line 4: Add lines 1 – 3:	_____	\$ _____
Line 5: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee purchased during 2013:	_____	\$ _____

Line 6: Based on the amount reported on Line 4, if your current bond amount is **correct based on the Mortgage Activity Table**, go to Line 8.

Line 7: Based on the amount reported on line 4, if your current bond amount is **incorrect based on the Mortgage Activity Table**, return this form and upload the corrected surety bond "rider" (in the amount required by D.C. law) to the respective company and/or branch NMLS record.

MORTGAGE ACTIVITY TABLE	
Loan Activity Amount	Required Bond Amount
\$1 million or less	\$12,500
\$1 million to \$2 million	\$17,500
\$2 million to \$3 million	\$25,000
Over \$3 million	\$50,000
Where 4 or more license applications are filed	Blanket surety bond in the amount of \$200,000

DELIVERY INSTRUCTIONS: Completed annual report forms should be submitted via **EMAIL** to disb.annualreport@dc.gov.

DUE DATE: Annual reports are **DUE BY MARCH 31, 2014**. Failure to file the annual report by the March 31, 2014 deadline will subject the licensee to a statutory penalty of **\$100** per business day until the annual report is filed with the Department.

AFFIDAVIT:

"I, _____, hereby certify, under penalty of perjury, that I have read and knowingly made the statements and representations provided in the annual report and that each and every such statement and representation is true, accurate, and complete to the best of my knowledge and belief. I understand that any false statement, misrepresentation, or fraud in connection with this report may be cause for revocation, suspension, or other disciplinary action against the licensee submitting the annual report."

_____ Print Name and Title	_____ Signature
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Personally appeared before me, _____, who being duly sworn according to law, deposes and says that the information contained in the Annual Report Form being submitted is true and correct.

Sworn and subscribed to before me on this _____ day of _____, 20____. (NOTARY SEAL)

_____ Notary Public	My commission expires: _____
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